

AUCTION MARKET INSPECTION	The auction market operator is responsible for compliance with all applicable regulations and standards. [2.76, Policy #5]
Criteria	<p>At the time of the prelicensing inspection(s) of the auction facility, the inspector should ensure that the applicant/auction operator understands all the applicable regulations and standards with special emphasis placed on the following:</p> <ul style="list-style-type: none">• the auction operator is responsible for compliance with all regulations and standards, including applicable transportation standards, once the animal is accepted by the auction market• requirements for record keeping, transportation, cleaning, sanitation, and general animal health and well-being will be monitored and enforced during the auction• incompatible animals must not be held in the same enclosure• animals may not be housed close to other animals that may cause them stress• all caged and/or dangerous animals must be held in manner that ensures the safety of the animals and the public• a containment area, such as a perimeter fence, is required around the loading and unloading area to prevent the escape of the animals <p>At the time of the auction, the inspector should:</p> <ul style="list-style-type: none">• contact the auction management• introduce him/herself• check for regulated animals• if a licensee brings in a regulated animal, conduct an inspection of the animal in transit• if an unlicensed person brings in a regulated animal:<ul style="list-style-type: none">▶ inform the person of the Animal Welfare Act regulations▶ give the person a prelicense packet if appropriate• answer any applicable questions• check the animals for any visible signs of illness or distress <p>NOTE: The auction operator is responsible for obtaining veterinary care for sick animals in his/her custody.</p>

Records

An inspection of the records containing all the information for the animals consigned to and sold by the auction operator should be conducted on a different day than the sale day.

For record-keeping requirements, see Records-Auction Operator and Consignment Broker (Section 14.1).

Acquisition Records Follow-up

A person consigning a regulated animal to an auction market may or may not require a USDA dealer's license.

Consignment of regulated animals to an auction is not sufficient cause alone for requiring a license, since the person does not know for what purpose the animal is being sold.

The inspector should:

- collect the names/addresses of persons consigning regulated animals to the auction
- as time permits, conduct a search to determine if the person is conducting any regulated activities

NOTE: If you (the inspector) do not attend the auction, the records must be inspected as a routine inspection.